

sector 1/11
Box 502

PATENT

Our Docket: P-UD 3613

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Karin et al.

Serial No.: 09/377,795

Filed: August 20, 1999

For: GAMMA SUBUNIT OF CYTOKINE
RESPONSIVE I κ B-ALPHA KINASE
COMPLEX AND METHODS OF USING
SAME



Examiner: Not Assigned

Group Art Unit: 1643

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Attention Box Missing Parts, Washington, D.C., 20231, on November 3, 1999.

By Andrea L. Gashler
Andrea L. Gashler, Reg. No. 41,029

November 3, 1999
Date of Signature

ASST. COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed **September 9, 1999**, enclosed are:

- X 1. A copy of the Notice to File Missing Parts of Application, Filing Date Granted, for the above-identified U.S. Patent Application.
- X 2. An executed Declaration for Patent Application in the above-identified U.S. Patent Application.
- X 3. A Recordation Form Cover Sheet and an executed Assignment for the above-identified U.S. Patent Application.
- X 4. An executed Verified Statement (Declaration) Claiming Small Entity Status for the above-identified U.S. Patent Application.
- X 5. An executed Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. §3.71 for the above-identified U.S. Patent Application.
- X 6. An executed Statement Under C.F.R. 3.73(b) for the above-identified U.S. Patent Application.
- X 7. A Communication Regarding Sequence Listing for the above-identified U.S. Patent Application.
- X 8. A paper copy of the Sequence Listing, pages **1** through **10**, for the above-identified U.S. Patent Application.
- X 9. A Statement Under 37 C.F.R. § 1.821(f) and (g) for the above-identified U.S. Patent Application.
- X 10. The Sequence Listing in computer readable form for the above-identified U.S. Patent Application.

Inventors: Karin et al.
 Serial No.: 09/377,795
 Filed: August 20, 1999
 Page 2 of 2



The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	29-20	=	9	x	\$9	\$18	= \$81	\$
INDEPEN- DENT CLAIMS	8-3	=	5	x	\$39	\$78	= \$195	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: _____ YES <u>x</u> NO					\$130	\$260	= \$0	\$
					BASIC FEE		\$380	\$760
					TOTAL FEE		\$656	

- X 11. A check in the amount of **\$761.00** to cover the filing fee of **\$656.00**, the surcharge fee of **\$65.00**, and **\$40.00** for recording an Assignment for the application is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 C.F.R. 1.17 which may be required under 37 C.F.R. 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

November 3, 1999
 Date
 CAMPBELL & FLORES LLP
 4370 La Jolla Village Drive
 Suite 700
 San Diego, California 92122
 Telephone: (858) 535-9001
 Facsimile: (858) 535-8949

By: Andrea L. Gashler
 Andrea L. Gashler
 Reg. No. 41,029

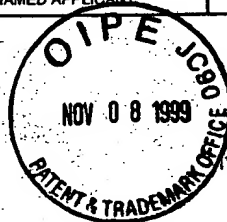


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/377,795 08/20/99 KARIN



M F-UD-3613

CATHRYN CAMPBELL
CAMPBELL & FLORES LLP
4370 LA JOLLA VILLAGE DRIVE
SUITE 700
SAN DIEGO CA 92122

0212/0909

NOT ASSIGNED

Miss. Parts Due 1643

11/4/99

DATE MAILED:

09/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,442.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 162.00 for 9 total claims over 20.

\$ 390.00 for 5 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

C. Barnes

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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PATENT
Our Docket: P-UD 3613

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Karin et al.) Examiner: Not Assigned
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Filed: August 20, 1999) I hereby certify that this correspondence
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on November 3, 1999.
By Andrea L. Gashler
Andrea L. Gashler, Reg. No. 41,029
November 3, 1999
Date of Signature

ASST. COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

Sir:

COMMUNICATION REGARDING SEQUENCE LISTING

Submitted herewith is a paper copy of the Sequence Listing and a copy of the Sequence Listing in computer readable form.

New pages 1 through 10 are submitted herewith containing Sequences 1 through 19, formatted in accordance with the conventions set forth by PatentIn. No new matter is introduced by these new pages as they merely represent the sequences originally set forth in the application. Accordingly, entry of these new pages is respectfully requested.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is given to charge the amount of this fee to Deposit Account No. 03-0370.

Respectfully submitted,

November 3, 1999
Date

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By Andrea L. Gashler
Andrea L. Gashler, Reg. No. 41,029

November 3, 1999
Date of Signature

Sir:

STATEMENT UNDER 37 C.F.R. § 1.821(f) and (g)

I hereby state that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 CFR § 1.821(c) and (e), respectively, are the same.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821(g) herein does not include new matter.

Respectfully submitted,

November 3, 1999
Date

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